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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/772,667	02/05/2004	Mukesh Kumar Singh	TI-35979	5588
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EXAMINER DEBNATH, SUMAN				
ART UNIT 2435		PAPER NUMBER		
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Office Action Summary

Application No.

10/772,667

Applicant(s)

SINGH, MUKESH KUMAR

Examiner

SUMAN DEBNATH

Art Unit

2435

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 20 March 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 3-13, 15 and 16 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 3-13, 15 and 16 is/are rejected.
- 7) ☒ Claim(s) 1, 4, 9 and 13 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SF/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

1. Claims 1, 3-13, and 15-16 are pending in this application.
2. Claims 1 and 3-13 are currently amended.
3. Claims 2 and 14 are canceled.

Claim Objections

4. Claims 1, 4, 9 and 13 are objected to because of the following:

As to claim 1, it recites, "said input message" in line 3. There is insufficient antecedent basis for this limitation in the claim. Furthermore, it recites, "diagonally filling said matrix" in line 5, it's not clear what user meant by this limitation. It's not clear what exactly Applicant meant to fill diagonally. Appropriate correction and/or clarification is required.

As to claim 4, it is dependent on claim 2 which is currently canceled. For the purpose of Examination, it is assumed that claim 4 is dependent on claim 1.

As to claim 9, it recites, "said input message" in line 3. There is insufficient antecedent basis for this limitation in the claim. Furthermore, it recites, "an input message" in line 5, it's not clear if this limitation is same as the one in line 3.

As to claim 13, it recites, "the inverse of said permutation" in line 7. There is insufficient antecedent basis for this limitation in the claim.

Appropriate correction and/or clarification is required.

Claim Rejections - 35 USC § 101

5. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

6. Claims 1, 3-13, and 15-16 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Based on Supreme Court precedent and recent Federal Circuit decisions, a 35 U.S.C § 101 process must (1) be tied to a particular machine or (2) transform underlying subject matter (such as an article or materials) to a different state or thing. In *re Bilski et al*, 88 USPQ 2d 1385 CAFC (2008); *Diamond v. Diehr*, 450 U.S. 175, 184 (1981); *Parker v. Flook*, 437 U.S. 584, 588 n.9 (1978); *Gottschalk v. Benson*, 409 U.S. 63, 70 (1972); *Cochrane v. Deener*, 94 U.S. 780,787-88 (1876).

An example of a method claim that would not qualify as a statutory process would be a claim that recited purely mental steps. Thus, to qualify as a § 101 statutory process, the claim should positively recite the particular machine to which it is tied, for example by identifying the apparatus that accomplishes the method steps, or positively recite the subject matter that is being transformed, for example by identifying the material that is being changed to a different state. Since Applicant's method steps are not tied to a particular machine and do not perform a transformation, the claims are non-statutory. It should be noted that preamble is not given a patentable weight. Thus, adding limitations to preamble doesn't make the claimed subject matter as statutory. Therefore, rejection under 35 U.S.C. 101 from previous action sustained.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

8. Claims 9-12 and 15-16 are rejected under 35 U.S.C. 102(b) as being anticipated by Guski et al. (Patent No.: 5,592,553) (hereinafter, "Guski").

9. As to claim 9, Guski discloses a method of encryption for a digital signal processor, comprising

preprocessing said input message wherein said preprocessing includes a permutation of said input message and defining a permutation source ("the right input half R2B is first permuted (step 1004) according to Permutation Table (7-n) (block 1110), where n is the number of the round or loop count, to produce a permuted right input half R2B (1112). For example, for the first time through (n=1), Permutation Table 6 is used.", e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12);

generating a permuted message for an input message employing said permutation source ("the right input half R2B is first permuted (step 1004) according to Permutation Table (7-n) (block 1110), where n is the number of the round or loop count,

to produce a permuted right input half R2B (1112). For example, for the first time through (n=1), Permutation Table 6 is used.", e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12);

padding said permuted message with said permutation source to obtain a preprocessed message ("L2B (2 bytes) is concatenated (step 1006) with 6 bytes of padding bits 1114 consisting of hexadecimal X'555555555555' to form an 8-byte (64-bit) quantity L8B (1116), such that the 2 bytes of L2B occupy the leftmost 2 byte positions of L8B. L8B is encrypted (step 1008) using DES (block 1118)", e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12); and

encrypting said preprocessed message with block-based encryption method which has blocks smaller than said preprocessed message ("L2B (2 bytes) is concatenated (step 1006) with 6 bytes of padding bits 1114 consisting of hexadecimal X'555555555555' to form an 8-byte (64-bit) quantity L8B (1116), such that the 2 bytes of L2B occupy the leftmost 2 byte positions of L8B. L8B is encrypted (step 1008) using DES (block 1118)", e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12).

10. As to claim 10, Guski discloses said permutation source is generated by a hash of said input message (e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12).

11. As to claim 11, Guski discloses said permutation source is generated by a random sequence (e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12).

12. As to claim 12, Guski discloses said block-based encryption is a public key encryption (e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12).

13. As to claim 15, Guski discloses said padding includes prepending said permuted message with said permutation source to obtain said preprocessed message (e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12).

14. As to claim 16, Guski discloses said padding includes appending said permuted message with said permutation source to obtain said preprocessed message (e.g. see, col. 15, lines 34-48 to col. 16, lines 1-12).

15. Claims 1, 3-8 and 13 are rejected under 35 U.S.C. 102(e) as being anticipated by Kuhlman (Pub. No.: US 2003/0086564 A1).

16. As to claim 1, Kuhlman discloses a method of encryption, of a digital signal processor, comprising:

preprocessing said input message wherein said preprocessing includes a permutation of said input message ("Each s-box subround contains a permutation polynomial function, 110 with modulo reduction and a hilo split 120", e.g. see, [0021], see also FIG. 2, 3 and [0023], [0030]);

partitioning said input message into matrix elements, where said matrix is a square matrix, and diagonally filling said matrix ("The 128 bit wide cipher input 210 is

split into four thirty-two bit paths for processing. Each of the four paths is first pre-whitened 220 before the rounds in the block cipher of FIG. 2", e.g., see, [0023], see also "a matrix convolution 250 is performed on the outputs Ba, Bb, Bc and Bd of all four of the s-boxes 100 to generate Ya, Yb, Yc and Yd.", e.g. see, [0025], see also, FIG. 2, 3 and [0023], [0030]);

computing a determinant of said matrix ("Continuing with the description of FIG. 2, a matrix convolution 250 is performed on the outputs Ba, Bb, Bc and Bd of all four of the s-boxes 100 to generate Ya, Yb, Yc and Yd. The matrix convolution 250 will further be described below in accordance with FIG. 9. Finally, whitening XOR operations 261, 262, 263 and 264 against the keys are performed on the results Ya, Yb, Yc and Yd of the matrix convolution 250.", e.g. see, [0025], FIG. 2, 3);

public key encrypting said determinant ("whitening XOR operations 261, 262, 263 and 264 against the keys are performed on the results Ya, Yb, Yc and Yd of the matrix convolution 250", e.g. see, [0025], see also, FIG. 2, 3, [0021], [0023], [0030],; and multiplying said matrix by said encrypted determinant ("At the end of each of the four rounds 221, 222, 223 and 224, the keys k0, k1, k2 or k3 are used in the XOR operations in different orders as illustrated to increase cipher strength. In the second round 222, extra pre-XOR operations 271, 272 and 273 are performed with an arbitrary integer on keys k2, k3 and k1 to further increase strength", e.g. see, [0026], see also, FIG. 2, 3, [0021], [0023], [0025], [0030]).

17. As to claim 3, Kuhlman discloses said permutation is generated by a hash of said input message (FIG. 2, 3, [0021], [0023], [0025], [0026], [0030]).
18. As to claim 4, Kuhlman discloses said permutation is generated by a random sequence (FIG. 2, 3, [0021], [0023], [0025], [0026], [0030]).
19. As to claim 5, Kuhlman discloses said preprocessing comprises exclusive ORing said message after permutation with generators of said permutation (FIG. 2, 3, [0021], [0023], [0025], [0026], [0030]).
20. As to claim 6, Kuhlman discloses said encrypting is public-key encryption (FIG. 2, 3, [0021], [0023], [0025], [0026], [0030]).
21. As to claim 7, Kuhlman discloses said public-key encryption is RSA (FIG. 2, 3, [0021], [0023], [0025], [0026], [0030]).
22. As to claim 8, Kuhlman discloses said partitioning first fills the principal diagonal of said matrix (FIG. 2, 3, [0021], [0023], [0025], [0026], [0030]).
23. As to claim 13, it is rejected using the similar rationale as for the rejection of claim 1.

24. **Examiner's note:** Examiner has cited particular columns and line numbers in the references as applied to the claims above for the convenience of the Applicant.

Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may be applied as well. It is respectfully requested from the Applicant, in preparing the responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

Response to Arguments

25. Applicant has amended claims 1 and 3-13, which necessitated new ground of rejection, see rejection above.

Conclusion

26. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

27. Any inquiry concerning this communication or earlier communications from the examiner should be directed to SUMAN DEBNATH whose telephone number is (571)270-1256. The examiner can normally be reached on 8 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Y. Vu can be reached on 571 272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/S. D./
Examiner, Art Unit 2435

/Kimyen Vu/
Supervisory Patent Examiner, Art Unit 2435